OPTIMAL DESIGNS FOR FUNCTIONAL PRINCIPAL AND EMPIRICAL COMPONENT SCORES MING-HUNG KAO AND PING-HAN HUANG

Arizona State University

Supplementary Material

In Tables S1–S3, we present our obtained designs $d^* = \{t_1, ..., t_n\}$ for predicting the functional empirical component (FEC) scores with the scenarios considered in Section 4 of the paper. The distinct K-point elemental designs for each d^* are listed, along with the number of replicates of these elemental designs in d^* ; K = 3, 5, 7. The elemental design is represented by the K indices of the sampling time points t_{ij} from the 21-point regular grid of $\mathcal{T} = [0, 1]$.

In Table S4, the elemental design for each single-support design $d_s = d_{fpc}$ is listed. d_s is obtained by minimizing Φ_A of Corollary 1, by an exhaustive search over all the single-support designs that are in Ξ_d . There are multiple d_s for each case. Except for J = K = 7, d_s is the same as d_{fpc} that minimizes Φ_{A1} among the single-support designs. The d_s and d_{fpc} for J = K = 7 can be found in Table 2 in the paper. As presented there, the former design depends on the number of subjects n.

replicates				
	n = 10			
K = 3	K = 5	K = 7		
$(3, 7, 19) \times 3$	$(3, 5, 8, 13, 19) \times 2$	$(3, 4, 8, 9, 13, 14, 18) \times 2$		
$(3, 15, 19) \times 2$	$(3, 9, 13, 15, 18) \times 3$	$(3, 4, 8, 9, 14, 18, 19) \times 3$		
$(5, 9, 13) \times 2$	$(3, 9, 14, 17, 19) \times 3$	$(3, 4, 8, 13, 14, 18, 19) \times 3$		
$(9, 13, 17) \times 3$	$(4, 7, 9, 13, 19) \times 2$	$(4, 8, 9, 13, 14, 18, 19) \times 2$		
n = 50				
K = 3	K = 5	K = 7		
$(3, 7, 19) \times 13$	$(3, 5, 8, 13, 19) \times 12$	$(3, 4, 8, 9, 13, 14, 18) \times 13$		
$(3, 15, 19) \times 12$	$(3, 9, 13, 15, 18) \times 13$	$(3, 4, 8, 9, 14, 18, 19) \times 12$		
$(5, 9, 13) \times 12$	$(3, 9, 14, 17, 19) \times 13$	$(3, 4, 8, 13, 14, 18, 19) \times 12$		
$(9, 13, 17) \times 13$	$(4, 7, 9, 13, 19) \times 12$	$(4, 8, 9, 13, 14, 18, 19) \times 13$		
n = 70				
K = 3	K = 5	K = 7		
$(3, 7, 19) \times 17$	$(3, 5, 8, 13, 19) \times 18$	$(3, 4, 8, 9, 13, 14, 18) \times 18$		
$(3, 15, 19) \times 18$	$(3, 9, 13, 15, 18) \times 17$	$(3, 4, 8, 9, 14, 18, 19) \times 17$		
$(5,9,13) \times 18$	$(3, 9, 14, 17, 19) \times 17$	$(3, 4, 8, 13, 14, 18, 19) \times 17$		
$(9, 13, 17) \times 17$	$(4, 7, 9, 13, 19) \times 18$	$(4, 8, 9, 13, 14, 18, 19) \times 18$		

Table S1: Obtained Designs for FEC scores with J = 3: elemental design \times number of replicates

Table S2: Obtained Designs for FEC scores with J = 5: elemental design \times number of replicates

replicates					
	n = 10				
K	= 3	K = 5	K = 7		
$(2, 6, 10) \times 1$	$(2, 7, 18) \times 1$				
$(3, 7, 19) \times 1$	$(3,15,19) \times 1$	$(2, 10, 13, 16, 19) \times 5$ $(3, 6, 9, 12, 20) \times 5$	$(2, 4, 0, 9, 13, 10, 19) \times 2$		
$(4, 15, 20) \times 1$	$(5, 9, 13) \times 1$		$(3, 6, 8, 10, 13, 16, 19) \times 3$		
$(5, 10, 14) \times 1$	$(8, 12, 17) \times 1$		$(3, 6, 9, 12, 14, 16, 19) \times 2$		
$(9, 13, 17) \times 1$	$(12, 16, 20) \times 1$		$(3, 6, 9, 13, 16, 18, 20) \times 3$		
n = 50					
K	= 3	K = 5	K = 7		
$(2,7,18) \times 8$	$(3, 7, 19) \times 5$	$(2, 10, 13, 16, 19) \times 25$ $(3, 6, 9, 12, 20) \times 25$	$(2, 4, 6, 9, 13, 16, 19) \times 12$		
$(3, 14, 18) \times 1$	$(3, 15, 19) \times 1$		$(3, 6, 8, 10, 13, 16, 19) \times 13$		
$(4, 15, 20) \times 10$	$(5,9,13) \times 2$		$(3, 6, 9, 12, 14, 16, 19) \times 12$		
$(5, 10, 14) \times 10$	$(8, 12, 17) \times 9$		$(3, 6, 9, 13, 16, 18, 20) \times 13$		
$(9, 13, 17) \times 4$					
	n = 70				
K	= 3	K = 5	K = 7		
$(2,7,18) \times 12$	$(3, 7, 19) \times 1$				
$(3, 14, 18) \times 2$	$(3, 15, 19) \times 5$		$(2, 4, 6, 9, 13, 16, 19) \times 18$		
$(4, 8, 13) \times 3$	$(4, 8, 19) \times 4$	$(2, 10, 13, 16, 19) \times 35$	$(3, 6, 8, 10, 13, 16, 19) \times 17$		
$(4, 15, 20) \times 10$	$(5, 9, 13) \times 4$	$(3, 6, 9, 12, 20) \times 35$	$(3, 6, 9, 12, 14, 16, 19) \times 18$		
$(5, 10, 14) \times 11$	$(8, 12, 17) \times 13$		$(3, 6, 9, 13, 16, 18, 20) \times 17$		
$(9, 14, 18) \times 5$					

replicates						
n = 10						
K = 3		K = 5	K = 7			
		$(1, 9, 12, 15, 18) \times 1$				
$(2,5,8) \times 1$	$(2, 6, 10) \times 1$	$(2, 5, 8, 16, 19) \times 3$				
$(2, 14, 18) \times 1$	$(4, 7, 10) \times 1$	$(3, 6, 14, 17, 20) \times 1$	$(2, 5, 7, 10, 13, 16, 19) \times 35$			
$(4,8,12) \times 1$	$(4, 8, 20) \times 1$	$(3, 11, 14, 17, 20) \times 1$	$(3, 6, 9, 12, 15, 17, 20) \times 35$			
$(10, 14, 18) \times 1$	$(12, 15, 18) \times 1$	$(4, 7, 10, 13, 16) \times 3$				
$(12, 16, 20) \times 1$	$(14, 17, 20) \times 1$	$(6, 9, 12, 15, 18) \times 1$				
	n = 50					
K =	K = 3		K = 7			
$(2, 6, 10) \times 4$	$(2, 14, 18) \times 10$	$(2, 5, 8, 16, 19) \times 13$				
$(3, 7, 19) \times 1$	$(4, 8, 12) \times 10$	$(3, 6, 14, 17, 20) \times 13$	$(2, 5, 7, 10, 13, 16, 19) \times 35$			
$(4, 8, 20) \times 10$	$(9, 13, 17) \times 1$	$(4, 7, 10, 13, 16) \times 12$	$(3, 6, 9, 12, 15, 17, 20) \times 35$			
$(10, 14, 18) \times 10$	$(12, 16, 20) \times 4$	$(6, 9, 12, 15, 18) \times 12$				
n = 70						
K = 3		K = 5	K = 7			
$(2, 6, 10) \times 3$	$(2, 14, 18) \times 14$					
$(3, 7, 19) \times 2$	$(3, 15, 19) \times 2$	$(2, 5, 8, 16, 19) \times 18$				
$(4, 8, 12) \times 14$	$(4, 8, 20) \times 14$	$(3, 6, 14, 17, 20) \times 18$	$(2, 5, 7, 10, 13, 16, 19) \times 35$			
$(5, 9, 13) \times 2$	$(9, 13, 17) \times 2$	$(4, 7, 10, 13, 16) \times 17$	$(3, 6, 9, 12, 15, 17, 20) \times 35$			
$(10, 14, 18) \times 14$	$(12, 16, 20) \times 3$	$(6, 9, 12, 15, 18) \times 17$				

Table S3: Obtained Designs for FEC scores with J=7: elemental design \times number of

Table S4: Elemental designs for single-support designs				
J=3				
K = 3	K = 5	K = 7		
(3, 7, 19)	$\left(3,5,8,13,19\right)$	(3, 4, 8, 9, 13, 14, 18)		
(3, 15, 19)	(3, 9, 13, 15, 18)	(3, 4, 8, 9, 14, 18, 19)		
(5, 9, 13)	(3, 9, 14, 17, 19)	(3, 4, 8, 13, 14, 18, 19)		
(9, 13, 17)	(4, 7, 9, 13, 19)	(4, 8, 9, 13, 14, 18, 19)		
J=5				
K = 3	K = 5	K = 7		
(3, 14, 18)		(2, 4, 6, 9, 13, 16, 19)		
(4, 8, 13)	(2, 10, 13, 16, 19)	(3, 6, 8, 10, 13, 16, 19)		
(4, 8, 19)	(3, 6, 9, 12, 20)	(3, 6, 9, 12, 14, 16, 19)		
(9, 14, 18)		(3, 6, 9, 13, 16, 18, 20)		
J=7				
K = 3	K = 5	K = 7		
(3, 14, 18)	(3, 6, 9, 13, 16)			
(4, 8, 13)	$\left(3,6,9,16,19\right)$	See Table 2 in the paper		
(4, 8, 19)	(3, 6, 13, 16, 19)	See Table 2 in the paper		
(9, 14, 18)	(6, 9, 13, 16, 19)			